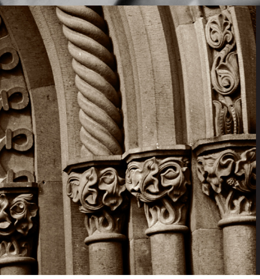

SUNGARD RELIUS EDUCATION

Three Fall Workshops for Retirement Plan Professionals

October – December • 24 cities

THREE FALL WORKSHOPS FOR RETIREMENT PLAN PROFESSIONALS

OCTOBER - DECEMBER. 24 CITIES.*

This fall, we are pleased to offer three programs designed to keep you abreast of the latest developments affecting qualified plans and the procedures and processes to keep your practice running as efficiently as possible. The first of two days, we provide a pair of half-day programs to help you prepare for EFAST2 and to use forms, notices, and other material to streamline your practice. In most cities, the following day will feature *ERISA Workshop*, an opportunity to go in depth on some of the thorny issues that plague retirement plan practitioners. Choose the programs and location that fit your needs and budget.

EFAST2: The Future of Form 5500 – Half-Day

Plan Forms, Notices, and Amendments: Streamlined, Effective, Timely – Half-Day

ERISA Workshop – Full Day

** Back-to-back scheduling! A multi-program registration discount is offered for those who wish to attend two or three programs at the same location.*

SPEAKERS

Programs will be conducted by one of our nationally-known and knowledgeable speakers:

Stephen W. Forbes, J.D., LL.M.

Warren T. Marshall, J.D., LL.M.

S. Derrin Watson, J.D., APM

For detailed information about our speakers, go to **www.sungard.com/reliuseducationresources**, and select "Seminars."

WHO SHOULD ATTEND

All three programs – *EFAST2*; *Plan Forms, Notices, and Amendments*; and the *ERISA Workshop* – are designed for practitioners who have some experience working with defined contribution plans in general, and with 401(k) plans in particular. The less-experienced practitioner also will benefit from the programs by learning how to spot important issues for further investigation. These programs are designed to benefit all pension practitioners, including third-party administrators, attorneys, accountants, human resources personnel, actuaries, trust officers, insurance agents, and others involved with qualified plan design, compliance, and government reporting.

COURSE MATERIALS

Paper workbooks are no longer provided at the seminars. Attendees should bring their own workbooks to the program, either hard copy or loaded into a laptop computer. Registrants are strongly encouraged to print and/or download the program materials prior to arriving at the seminar, as hotel business center printers and computer usage tend to be expensive.

IMPORTANT:

To download the materials, registrants will be required to enter their RegID number and their last name as shown in their e-mail confirmation. Materials will be available 7-10 days before the program. Details will be provided in each registrant's registration confirmation e-mail.

EFAST2: THE FUTURE OF FORM 5500

DAY 1, 8:30 A.M. – 11:45 A.M.

TOPICS INCLUDE:

- Filing Options
- Credentials
- Transmission Procedures
- Attachments and Plan Audits
- Deadlines, Extensions, and DFVC
- Screen Shot Illustrating Process

OBJECTIVES

Upon completion of the course, attendees should be able to:

- Distinguish between the various credentials of the EFAST2 program
- Explain the advantages and disadvantages of alternative systems for 5500 preparation and filing
- Assist clients in using the online DFVC program
- Determine the effective date of EFAST2 and appropriate transition rules as it relates to particular employer situations
- List the new information required for Schedule C
- Explain how to handle plan audits under EFAST2
- Differentiate between the possible filing status and determine what to do for each situation

AGENDA

8:00 a.m.

Registration Check-in/Coffee and Danish

8:30 a.m.

Application.

Effective date; phase-in; plans subject to electronic filing; one-participant plan options; 5500-EZ filing.

Filing Options.

IFILE; desktop; desktop uploaded to IFILE; Web-based system; differences; benefits and detriments of each option.

Credentials.

Steps for obtaining credentials; IREG; five types of credentials: filing author, filing signer, transmitter, schedule author, software developer; credentials necessary for different filing options; personal credentials; obtaining credentials for clients; availability; practical use.

Completing Forms.

Collaboration; changes to the 5500 and schedules; Expanded Schedule C; Form 5500-SF; Form SSA; 403(b) plans.

Attachments and Plan Audits.

Formats; auditor's opinion; actuarial schedules; scanning *vs.* saving in PDF format; signature formalities; failing to include audit.

Transmission Procedures.

Steps required for each filing option; five filing statuses: processing, nonprocessable, filing stopped, filing error, filing received; enforcement issues; acknowledgement ID; when 5500 considered filed; public disclosure; amendments: 2009 and pre-2009 returns; screen shots illustrating process (DOL and private Web-based system).

Deadlines, Extensions, and DFVC Program.

Deadlines; extension requests; attaching extension requests; DFVC and new electronic DFVC filing option; automatic extension; 2009 short plan year options.

11:45 a.m.

Adjournment

PLAN FORMS, NOTICES, AND AMENDMENTS: STREAMLINED, EFFECTIVE, TIMELY

DAY 1, 1:00 P.M. – 4:15 P.M.

TOPICS INCLUDE:

- Delinquent Participant Contributions
- Plan Correction Forms
- Loan Forms
- Non-spouse Beneficiary Distribution Forms
- Interim Amendments
- New Special Tax Notice

OBJECTIVES

Upon completion of the course, attendees should be able to:

- Choose the forms, notices, and other documents needed for a particular plan
- Correctly complete Form 5330 for a multi-year late deposit situation
- Complete a DFVC filing for a late deposit to take advantage of prohibited transaction relief
- Select the appropriate forms for a VCP submission
- Determine which interim amendments a plan needs and the deadlines which apply
- Modify plan loan forms to address regulatory changes
- Assist clients in avoiding blackout penalties (now reported on Form 5500)

AGENDA

12:30 p.m.

Registration Check-in

1:00 p.m.

Delinquent Participant Contributions.

Filing VFCP application; participant notice; calculating prohibited transaction excise tax; sample completed 5330; avoiding tax payment under $100.

Plan Correction Forms.

Procedure for filing VCP application; late-amender correction; sample streamlined application; sample missed deferral calculation; application for failure to withhold loan repayment.

Non-spouse Beneficiary Distribution Forms.

2010 mandatory option; 402(f) notice; distribution and rollover election form; withholding.

Required Minimum Distribution Waiver Forms.

IRS guidance; rollover option; participant notice; default options.

402(f) Notice and Participant Consent Forms.

Modifications to 402(f) notice; PPA changes to consent forms; joint and survivor annuity with optional survivor annuity.

Interim Amendments.

415, PPA, HEART Act, and WRERA plan amendments (terminating and ongoing plans): who, what, when, where and why.

Miscellaneous Forms.

Elimination of application of Regulation Z to participant loans; blackout period notice and new 5500 question; safe harbor exiting and termination notices; mapping after investment change; extra time for safe harbor notices.

4:15 p.m.

Adjournment

ERISA WORKSHOP

DAY 2, 8:30 A.M. – 4:00 P.M.

TOPICS INCLUDE:

- Safe Harbor 401(k) Plans: Termination and Exit Strategies
- Bankruptcy (Participant and Employer) Impact on Plans
- Beneficiary Issues
- Cross-testing Plan Design
- Plan Corrections

OBJECTIVES

Upon completion of the course, attendees should be able to:

- Compare the consequences of mid-year exiting and termination for safe harbor plans
- Determine optimal strategies for cross-tested plan designs, particularly cross-tested 401(k) plans
- Choose effectively between optional methods of correcting plan failures
- Administer a plan with a participant or employer in bankruptcy
- Explain when and how to file for a determination letter for a pre-approved plan
- List the issues which affect beneficiaries of deceased participants

AGENDA

8:00 a.m.

Check-in/Coffee and Danish

8:30 a.m.

Terminations, Amendments, and Exiting of Safe Harbor 401(k) Plans.

New regulations; mid-year exit or termination; required procedural steps; proper notices; substantial business hardship; testing; amendments; effect on top-heavy and compensation; strategy.

Cross-testing Plan Designs.

Prototype *vs.* volume submitter; classifications *vs.* each participant in his/her own class; combining with traditional and safe harbor 401(k) features; compensation choices; troubleshooting; timing of amendments.

Plan Corrections: Selecting the Most Effective Option.

Improper exclusion of eligible employee (profit sharing and match): make-up contribution *vs.* reallocation; failure to make required minimum distribution: VCP *vs.* self correction; ADP and ACP failures: QNEC *vs.* 1 to 1; failure to implement deferral election: make-up contribution *vs.* missed deferral calculation; coverage failure: fail safe provision *vs.* retroactive amendment; failure to withhold loan payment: VCP *vs.* deemed distribution; failure to adopt interim amendment: VCP *vs.* risky business; improper plan entry: retroactive plan amendment *vs.* return of deferrals *vs.* VCP.

Bankruptcy (Participant and Employer): Impact on Deferrals, Loans, Distributions, and Plan Termination.

Cancellation of payroll withholding; cancellation of deferral election; priority of undeposited deferral contributions; responsibility for plan termination; responsibility for filing claims.

12:00 Noon

Lunch (provided)

1:00 p.m.

Determination Letter Filing for Preapproved Plans.

Use of new 5307; what to include with application; revised filing procedures; filing pros and cons; when full 5300 required; filing after VCP; special issues: partial termination, affiliated service group and leased employees.

Beneficiary Issues.

Consent; default beneficiaries; who can be a beneficiary; documentation; competing beneficiary claims; loan responsibility; rollover options; notices; spousal beneficiaries and U.S. Supreme Court decisions: Boggs, Egelhoff and Kennedy.

Current Developments.

Legislation and regulatory update regarding 401(k) plan fee disclosure; court decisions regarding plan fees; automatic enrollment regulatory issues; other IRS and DOL regulations.

4:00 p.m.

Adjournment

SEMINAR INFORMATION DELIVERY GOES GREEN

We are pleased to announce that we are moving from paper to electronic delivery of our seminar and conference announcements. All the information you need – from agendas to registration – is now available online. Register for this program today at **www.sungard.com/reliuseducationresources**

ARE YOU SUBSCRIBED?

Join us in this effort. Subscribe to our free e-mail newsletters for seminars and conferences. It's fast and easy. Subscribe now by visiting us at **www.sungard.com/relius/subscribe**.

Think before you print.

WATCH FOR THESE UPCOMING PROGRAMS

MONTHLY
Web seminars: Live and Archived

2010
Advanced Pension Conferences
• Orlando – February 17-19, 2010
• Chicago – September 8-10, 2010

401(k) Current Developments
Form 5500/EFAST2 Workshop
Fundamentals of 401(k) and Other Qualified Plans
401(k) Plans: Beyond the Basics
Specialty Topics Programs

For information about all SunGard Relius educational programs, go to **www.sungard.com/reliuseducationresources** and select "Seminars."

DATES, LOCATIONS, AND ACCOMMODATIONS*

DAY 1: 8:00AM – 11:45AM EFAST2: THE FUTURE OF FORM 5500 (HALF-DAY WORKSHOP)
DAY 1: 1:00PM – 4:15PM PLAN FORMS, NOTICES, AND AMENDMENTS (HALF-DAY WORKSHOP)
DAY 2: 8:30AM – 4:00PM ERISA WORKSHOP (FULL DAY WORKSHOP)

Location	Dates	Hotel
Seattle	October 1-2	SpringHill Suites Seattle Downtown 1800 Yale Avenue Seattle, WA 206-254-0500 or 888-287-9400
Cincinnati *Half-days only*	October 6	Hilton Cincinnati Netherland Plaza 35 West Fifth Street Cincinnati, OH 513-421-9100 or 800-445-8667
Denver	October 7-8	Sheraton Denver Tech Center Hotel 7007 S. Clinton St. Greenwood Village, CO 303-799-6200
Minneapolis	October 8-9	Crowne Plaza Bloomington 5401 Green Valley Drive Bloomington, MN 952-831-8000
Washington, DC *Half-days only*	October 20	Holiday Inn Rosslyn at Key Bridge (*1½ blocks from Rosslyn Metro Station*) 1900 North Fort Myer Drive Arlington, VA 703-807-2000
Boston	October 22-23	Radisson Hotel Boston 200 Stuart Street Boston, MA 617-482-1800 or 800-333-3333
Des Moines	October 22-23	Bankers Trust Company** Ruan Center – 33rd Floor 453 7th Street Des Moines, IA Accommodations Assistance: Connie Bright, 800-326-7235, ext. 4032
Philadelphia	October 22-23	Sheraton Suites Philadelphia Airport 4101 Island Avenue Philadelphia, PA 215-365-6600
Orange County, CA *Half-days only*	October 27	Atrium Hotel 18700 MacArthur Boulevard Irvine, CA 949-833-2770
Atlanta	October 29-30	Cobb Galleria Centre** Two Galleria Parkway Atlanta,GA 770-955-800
Bloomington, IL	October 29-30	DoubleTree Bloomington 10 Brickyard Drive Bloomington, IL 309-664-6446
San Francisco	November 5-6	Embassy Suites San Francisco Airport** 250 Gateway Boulevard *Gateway at East Grand Ave.* South San Francisco, CA 650-589-3400

Location	Dates	Hotel
Knoxville	November 9-10	Knoxville Hilton 501 West Church Ave Knoxville, TN 856-523-2300 or 800-445-8667
Charlotte	November 12-13	Charlotte Hilton Executive Park 5624 Westpark Drive Charlotte, NC 704-527-8000 or 800-445-8667
Chicago	November 12-13	DoubleTree Hotel Chicago – Oak Brook 1909 Spring Road Oak Brook, IL 630-472-6000 Reservations: 800-222-8733
Detroit	November 12-13	Embassy Suites Hotel Detroit/Livonia 19525 Victor Parkway Livonia, MI 734-462-6000
Appleton, WI	November 18-19	Liberty Hall** 800 Eisenhower Drive Kimberly, WI 920-731-0164
Syracuse	November 18-19	DoubleTree Hotel Syracuse** 6301 Route 298 East Syracuse, NY 315-432-0200 Reservations: Tina Goodnough
Sacramento *Half-days only*	December 1	Hilton Sacramento Arden West 2200 Harvard Street Sacramento, CA Reservations: 916-922-4700
Dallas	December 3-4	Crowne Plaza Suites – Dallas** 7800 Alpha Road Dallas, TX 972-233-7600
New York City	December 3-4	Belvedere Hotel** 319 West 48th Street New York, NY 212-245-7000
Houston *Half-days only*	December 8	Houston Marriott West Loop by the Galleria 1750 West Loop South Houston, TX 713-960-0111 Reservations: Eric Seda-Toro
Kansas City	December 9-10	DoubleTree Hotel Kansas City Corporate Woods** 10100 College Blvd. Overland Park, KS 913-451-6100 or 800-222-8733
St. Louis	December 9-10	Embassy Suites St. Louis Airport 11237 Lone Eagle Drive Bridgeton, MO 314-739-8929

Hotels reserve a limited number of accommodations for seminar registrants until 4 weeks prior to the program(s). Hotels without a room block are indicated by (**). Registrants needing overnight accommodations must make room reservations directly with the hotel. Be sure to mention the SunGard program to obtain the group rate, if available. For information about other accommodations in the vicinity, please contact the host hotel guest services desk or your travel agent.

**Dates and locations are subject to change. Please verify that dates have been finalized before making travel arrangements; go to www.sungard.com/reliuseducationresources and select "Seminars,", then select program title to see dates/cities.*

RAVE REVIEWS

TAKEN FROM 2008-2009 ATTENDEE EVALUATIONS

"Terrific, practical, understandable coverage of complex subject matter."
Accountant, Pittsburgh, PA

"Fee disclosure & EGTRRA/415 very helpful.
Third-party Administrator, Virginia Beach, VA

"Great overview/update."
Attorney, Des Moines, IA

"Provided info that will help our office prepare for the switch to electronic Form 5500's, in the coming year."
Consultant, South Bend, IN

"... The e-filing information was priceless and I hope there is an opportunity later in the year for additional seminars as more details are available with actual demos of how the process will work with the Relius Web and desktop program."
Senior Plan Administrator, Lubbock, TX

"Up to date on regs, like HEART, investment & fee disclosures."
Trust Officer, Peoria, IL

"Your programs keep me up to date with happenings in the field. All of your teachers are outstanding."
Third-party Administrator, St. Louis, MO

"Provided current developments and answered questions about EFAST2."
Trust Officer, Chicago, IL

"It [Form 5500 Workshop] keeps me up-to-date and provides EXCELLENT resource material."
Third-party Administrator, Torrance, CA

"The sections regarding the changes coming up for 2010 (EFAST2) were very helpful."
Recordkeeper, Cincinnati, OH

"Help me to plan prospectively for upcoming changes and ask specific questions on items for clarity. Also helps to hear other's questions/ concerns. Very insightful."
Accountant, Dallas, TX

"It helped prepare for the upcoming e-file in 2010."
Third-party Administrator, Overland Park, KS

"Informative regarding the e-file of 5500 for 2009."
Employee Benefit Coordinator, Chicago IL

"I feel that the workshop provided me with a solid basis for filing out the forms for this year and years to come. The training was very detailed and easy to follow."
HR Benefits Manager, Northbrook, IL

CONTINUING PROFESSIONAL EDUCATION

EFAST2: THE FUTURE OF FORM 5500

PLAN FORMS, NOTICES, AND AMENDMENTS

Each half-day program:

3.5 hours CPE credit
based on a 50-minute hour*

3.0 hours CE/CLE credit
based on a 60-minute hour*

ERISA WORKSHOP

7.0 hours CPE credit
based on a 50-minute hour*

6.0 hours CE/CLE credit
based on a 60-minute hour*

*Actual credit hours granted may vary. Final decision rests with the governing body. There are no prerequisites or other advance preparation for these intermediate-level courses.

SunGard maintains continuing professional education (CPE) sponsorship status with the Joint Board for the Enrollment of Actuaries, IRS Enrolled Retirement Plan Agents (ERPA) program, ASPPA, IRS Enrolled Agents program, NASBA, and HRCI for SHRM professionals. Members of these groups may report CE credit directly to the entities. Pre-approval of programs is not required.

SunGard pension seminars and conferences are designed to be acceptable to most other professional organizations for continuing professional education credit, including CFP, the ICB (for CRSP and CFTA), state bar associations, CPA boards, InFRE, and PACE. Members governed by these entities may be required to submit the program to them for approval before reporting their CE credit hours. Contact your governing body for details.

Generally, program approval requests for Continuing Legal Education (CLE) will be submitted by SunGard for credit in the states where the programs are being conducted. In other states when possible, SunGard will submit for CLE program approval when sponsor-only filing is required and only upon request by an attendee. SunGard needs at least six weeks notice prior to the program; credit is subject to approval by the appropriate jurisdiction. Attendees will bear CE approval fees in those jurisdictions imposing per attendee fees.

For CLE in states where sponsor filing is NOT required, attendees themselves are responsible for submitting the program for approval, and for program approval fees, as well as fees for reporting CE credit hours, and any other associated fees.

Because of the administrative requirements of state insurance accrediting agencies, **we will not be able to obtain CE approval for insurance professionals**.

SunGard is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417. Web site: www.nasba.org.

The *EFAST2 and Plan Forms* half-day programs have been approved for 3.0 credit hours each, and the full day ERISA Workshop has been approved for 6.0 credit hours, toward PHR or SPHR recertification through the Human Resource Certification Institute (HRCI). For more information about certification or recertification, please visit the HRCI homepage at www.hrci.org.

For more information about Continuing Education, call 800-326-7235, Ext. 4031.

REGISTRATION FORM Copy as needed to register additional persons

THREE WAYS TO REGISTER:

ONLINE: www.sungard.com/reliuseducationresources – Fastest way to register!
MAIL: SunGard • ATTN: Order Processing-Accounting • P.O. Box 47470, Jacksonville, FL 32247
FAX: 904-399-0519 (24 hours a day)
Note: Online registration will not be accessible within three (3) business days of program date.

MULTIPLE-PROGRAM REGISTRATION SELECTIONS*

❏ **All Three Programs – BEST VALUE**
$775 early
$910 standard

❏ **ERISA Workshop and EFAST2**
$570 early
$660 standard

❏ **ERISA Workshop and Plan Forms, Notices, and Amendments**
$570 early
$660 standard

❏ **EFAST2 and Plan Forms, Notices, and Amendments**
$385 early
$475 standard

** Where multiple programs are selected, fees reflect multi-program discount. Multi-program discounts apply only for same person attending multiple programs in the same location.*

SINGLE-PROGRAM REGISTRATION SELECTIONS

❏ **EFAST2 only**
$205 early
$250 standard

❏ **Plan Forms, Notices, and Amendments only**
$205 early
$250 standard

❏ **ERISA Workshop only**
$390 early
$435 standard

Early Registration:
payment received 15 days or more prior to the program date.

Standard Registration:
payment received less than 15 days prior to the program date.

City: ______________________ Date: ______________ $: ________

The registration fee includes all seminar materials and food and beverage as stated in program agenda. Fee does not include other meals, lodging, transportation, or parking. Registrations are confirmed by e-mail. Please note: While walk-in registrations are accepted, be sure to call before the program to confirm that space is available. Recording of the program is NOT permitted. If you have questions or require special assistance, send an e-mail to relius.education@sungard.com.

REGISTRANT INFORMATION: ❏ Please check if new address, phone or fax. Please print or type:

❏ Mr. ❏ Mrs. ❏ Ms. Full Name: ______________________ Code from mailing label (if any): __________

Name for Badge: ______________________ Profession: ______________________

Firm Name: ______________________ Client Account Number: ______________________

Shipping Address (no P.O. Boxes): ______________________ City: ____________ State: ____ Zip: ______

Phone: ______________ Fax: ______________ E-mail: ______________________

Web Site: ____

HOW DID YOU HEAR ABOUT THIS PROGRAM? CHECK ONE: Technical Update e-mail ___ Program Reminder e-mail ___

Relius Newsletter e-mail ___ Omni Newsletter e-mail ___ Letter Reminder ___ Seminar Schedule ___ Flyer ___ Relius.net ___

CE State Board Web site ___ Benefitslink Web site ___ Benefitslink Newsletter ___ Special Offer Campaign ___ Word of Mouth ___

PAYMENT METHOD Check or credit card information must accompany this form:

❏ Enclosed is a check payable to **SunGard Corbel LLC** Please charge: ❏ Mastercard ❏ VISA ❏ American Express

Seminar Discount Code (if any): __________ Seminar fee: $ ________ CT Residents add applicable sales tax: $ ________

Total Due: $ __________ Card Number: ______________________________ Exp. Date: ________

Cardholder's Name (print): ______________________ Authorizing Signature: ______________________

Cancellation/Transfer Policy: All requests must be in writing. Full refund of program registration fee less a $50 administrative fee per program will be made for cancellations received at least 10 business days prior to the program selected. No refund for cancellations received later than 10 business days prior to the program. **Multi-program registrants please note:** Cancellation resulting in attendance at only one program will result in loss of multi-program discount. Transfer requests (within the same program only) must be received at least 10 business days prior to program date, and will result in a $25 administrative fee. Substitutions may be allowed with at least five business days advance notice. Seminar requests, questions, or concerns may be faxed to SunGard, Attn: Order Processing – Accounting, 904-399-0519, or e-mailed to relius.education@sungard.com.

WebBro 11670-10-09