

SunGard's Advanced Pension Conference – Chicago

Westin Michigan Avenue Hotel, Chicago, IL – September 2-4, 2015

AGENDA

Wednesday, September 2

8:00 - 8:30 a.m. Registration and Continental Breakfast

General Sessions

8:30 - 8:45 a.m. **Welcome and Announcements** – Watson

8:45 - 10:25 a.m. **Keeping Current** – Ferenczy, Watson
Join Ilene and Derrin for an entertaining session that highlights the latest guidance from Congress, the IRS, the DOL, and the courts. Emphasis is given to areas not covered elsewhere in the program.

10:25 - 10:45 a.m. Refreshment Break

10:45 - 12:00 p.m. **EPCRS Changes** – Watson

- Reduced fees
- Options for overpayments
- New rules for elective deferral failures

12:00 - 1:15 p.m. Hosted Luncheon

1:15 - 2:45 p.m. **Conflicted Advice** – Hoffman, Schultz
The proposed regulations on investment advisor fiduciaries promise to be the most controversial issue facing the DOL for the rest of the President's term. Join us for an in depth look at the proposed rule and the best interest contract exemption.

2:45 - 3:00 p.m. Refreshment Break

3:00 - 4:00 p.m. **How Conflicted Advice Rules Affect...** – Hoffman, Schultz, Watson
It's one thing to know what the DOL is proposing. It's quite another to determine what the real impact is. Our panel considers the effect of the rules on plan sponsors and administrators, TPAs, auditors, and, of course, financial professionals.

4:15 - 5:15 p.m. **Pension Jeopardy** – Panel
Join Derrin as he hosts our version of the popular game show. Topical questions test the knowledge of our expert speakers. This session is not only fun, but also highlights important pension issues in a memorable way.

5:15 p.m. Cocktail Reception

Thursday, September 3

8:00 - 8:30 a.m. Continental Breakfast

Concurrent Sessions 8:30 a.m. - 5:00 p.m. – Group I sessions are 60 minutes each. Group II sessions are 75 minutes each. Attend **two** topics from Group I and **two** from Group II.

GROUP I: 8:30 - 9:30 a.m. and repeated 9:40 - 10:40 a.m.

01. Dealing with Scriveners Errors – Ferenczy

- Identifying errors
- IRS and court positions
- How far can you go with EPCRS?
- Prevention is better than cure

02. Hardship Documentation – Hoffman

- Recent IRS guidance
- Participant self-certification
- Correction of failures

03. Frequently Asked Questions for Restatements and Individually Designed Plans – Richter

- Effective date issues
- Signature for trustees and participating employers
- Defined benefit and 403(b) issues
- Future of determination letter program
- Terminating plans

10:40 - 10:55 a.m. Refreshment Break

GROUP II - Part 1: 10:55 - 12:10 p.m. and *repeated after lunch* 1:20 - 2:35 p.m.

04. A TPA's Guide to Working with Brokers and Advisors – Schultz

- How to build lasting relationships
- What is important to investment professionals
- Strategies for increasing referrals and sales
- Working together to provide great service

05. New Cash Balance Regulations – Donovan

- Interest crediting
- Relief given, and not
- Late retirement

06. After-tax Employee Contributions – Watson

- Limitations
- Testing
- Strategies
- Distributions and taxation

12:10 - 1:20 p.m. Hosted Luncheon

GROUP II - Part 2: 1:20 - 2:35 p.m. See above for Group II session descriptions.

2:35 - 2:50 p.m. Refreshment Break

GROUP III: 2:50 - 3:50 p.m. Sessions are 60 minutes each. Attend **one** topic from this group.

07. Makeover Your Takeover – Ferenczy

- Techniques to guide your new relationship
- Uncovering the good, the bad, and the ugly
- Developing a plan of action in calm seas and rough waters
- Transition and implementation strategies to build a good foundation
- Case studies that put your skills to the test

08. New 5500 Compliance Questions – Watson

- Unrelated business income tax
- Paper or pixels; you will answer
- Coverage questions
- 401(k) testing

09. Deferral Election Trouble Spots – Richter

- Compensation
- Effect of salary reduction agreement wording
- Irregular compensation

GROUP IV: 4:00 - 5:00 p.m. Sessions are 60 minutes each. Attend **one** topic from this group.

10. Combination 401(k)/Cash Balance – Donovan

- Deduction limits
- Minimum gateways
- Top heavy issues

11. Trustee vs. Participant Direction – Schultz

- Fiduciary considerations
- Comparing investments and fees
- Participant behaviors and preferences
- Administration and accounting issues

12. Anti-cutback in Restatements – Watson

- How anti-cutback rule affects restatements
- The broad reach of anti-cutback
- Document defaults and alternatives
- Possible testing issues for benefits, rights, and features

Friday, September 4

8:00 - 8:30 a.m. Continental Breakfast

General Session

8:30 - 8:35 a.m. **Announcements** – Watson

8:35 - 10:05 a.m. **Washington Update** – Hoffman
A fast paced review of activity in Congress, the White House, and executive agencies dealing with retirement plans and tax reform.

10:05 - 10:25 a.m. Refreshment Break

10:25 - 12:00 p.m. **Ask the Experts Workshop** – Panel
Get those tough questions answered. Case studies will be used in a discussion of the issues currently facing practitioners in employee benefits area.

Continuing Education Credits – Total possible hours: 960 Minutes ÷ 50 = 19 CPE/CE hrs.*
 960 Minutes ÷ 60 = 16 CE hrs.*

*Final decision rests with governing body. Some governing bodies recognize fractions of hours; the program may qualify for a partial credit more than whole hours shown with these bodies.