

Certification of Intent To Adopt a Pre-approved Plan

Eligibility for the six (6) year remedial amendment cycle as described in Part IV of IRS Revenue Procedure 2007-44

▶ Attach to Form 5300, 5307, 5310

Part I Plan Sponsor Information - Enter all requested information

1a Employer name

[Grid for Employer name entry]

1b Employer Identification Number (EIN)

[Grid for Employer Identification Number entry]

1c Employer's plan name

[Grid for Employer's plan name entry]

1d Plan number

[Grid for Plan number entry]

1e Plan type - Enter 1 for DCP or 2 for DBP

[1]

Part II Master and Prototype (M&P) Sponsor or Volume Submitter Practitioner Information - Enter all requested information

2a Name of M&P sponsor or volume submitter practitioner

S u n G a r d B u s i n e s s S y s t e m s L L C

2b M&P sponsor or volume submitter practitioner EIN

2 3 - 2 1 3 9 6 1 2

2c Name of M&P plan or volume submitter specimen plan (see instructions)

G o v e r n m e n t a l D e f i n e d C o n t r i b u t i o n
V o l u m e S u b m i t t e r P l a n

Part III Certifications

This certification must be signed and dated by both parties before the end of the employer's applicable five-year remedial amendment cycle as determined under Part III of Revenue Procedure 2007-44 (see instructions regarding who must sign).

3 Under penalties of perjury the employer identified in line 1a, certifies that it intends to adopt the plan identified in line 2c.

Signature / Title of employer _____ Date _____

4 The M&P sponsor or volume submitter practitioner, listed above, certifies that an application for an opinion or advisory letter for the M&P plan or volume submitter specimen plan identified above was filed on or will be filed by

0 4 / 0 2 / 2 0 1 3

Preparer's signature / Title of M&P sponsor or volume submitter practitioner _____ Date 9/26/2013

